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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/716,393

Filing Date

November 17, 2003

First Named Inventor

Quan Nguyen

Group Art Unit

1645

Examiner Name

Unassigned

Total Number of Pages in This Submission

Attorney Docket Number

70-000410US

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Response
☐ After Final
☐ Affidavits/declaration(s)
☒ Extension of Time Request
☐ Express Abandonment Request
☐ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☒ Copy of Notice to File Missing Parts
☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Executed Declaration
☒ Power of Attorney
☒ Certificate of Assignee
☒ Copy of Executed Assignment
☒ Preliminary Amendment
☒ Sequence Listing Statement
☒ Sequence Listing Paper Form and Diskette
☐ Small Entity Statement
☒ Request of corrected filing receipt

- ☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Additional Enclosure(s) (please identify below):

receipt acknowledgment postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Jonathan Alan Quine, Reg. No. 41,261, for Monica Elrod-Erickson, Reg. 51,261

Signature

Jonathan Alan Quine

Date

November 2, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below

Typed or printed name

Juliana Hermes

Signature

Juliana Hermes

Date

November 2, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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COMMISSIONER FOR PATENTS

P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, on November 2, 2004

QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.

By

Juliana Hermes

Attorney Docket No. 70-000410US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Quan Nguyen et al.

Application No.: 10/716,393

Filed: November 17, 2003

For: **RNAI-BASED SENSORS, CAGED
INTERFERING RNAS, AND METHODS OF
USE THEREOF**

Examiner: 1645

Art Unit: Unassigned

Confirmation No. 4409

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

ATTN:

MAIL STOP MISSING PARTS

COMMISSIONER FOR PATENTS

P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated August 9, 2004, enclosed are the following to be made of record in the above-identified application:

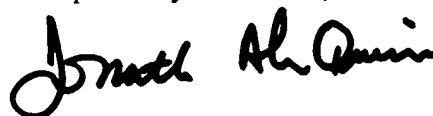
- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
(+ copy of Assignment - not for recordation)
- 3) Copy of Notice of Missing Parts
- 4) Preliminary Amendment
- 5) Sequence listing (paper and electronic format)
- 6) Request for corrected filing receipt
- 7) Petition for extension of time for 1 month
- 8) Transmittal Sheet
- 9) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Small entity: (a)	Filing Fee (§ 1.16(a)) (Small Entity)	\$ 395.00
(b)	Excess Claims Fees (§ 1.16(b), (c)):	
	$64 - 20 = 24 \times 9 =$	\$ 216.00
	$4 - 3 = 1 \times 44 =$	\$ 44.00
	Multiple Dependent Claims Fee	\$ 150.00
(c)	Missing Parts Surcharge	\$ 65.00
(d)	Petition for extension of time for one month (37 CFR 1.17(a)(1))	\$ 55.00
TOTAL FEES TO BE CHARGED		\$ 925.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893. This Transmittal Letter is submitted in duplicate.

Respectfully submitted,



Jonathan Alan Quine, Reg. No. 41.261
For Monica Elrod-Erickson, Reg. No. 51,261

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 Alexandria, Virginia 22313-1450
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/716,393	11/17/2003	Quan Nguyen	70-000410US

CONFIRMATION NO. 4409

22798

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
 P O BOX 458
 ALAMEDA, CA 94501

FORMALITIES LETTER



OC000000013489187*

11/09/2004 00000000 500893 10716232

01 FE:2001 335.00 DA
 02 FE:2002 215.00 DA
 03 FE:2001 44.00 DA
 04 FE:2002 150.00 DA
 05 FE:2001 80.00 DA



Date Mailed: 08/09/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$2479** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$2929** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$2479**
 - **\$516** for 12 independent claims over 3.
 - **\$1818** for 202 total claims over 20.
 - **\$145** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Y.G.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE